

Iowa State University Institutional Animal Care and Use Committee (IACUC)	
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Institutional Animal Care and Use Committee (IACUC) Policy

REVIEW OF FEDERALLY FUNDED GRANTS

1. Background

The purpose of this policy is to clarify requirements regarding Institutional Animal Care and Use Committee (IACUC) review of federally funded research projects. The IACUC has delegated the responsibility of protocol and grant congruency to the Office of Research Ethics (ORE).

2. Policy

Public Health Service (PHS) policy and the NIH Grants policy require institutional certification—when research is supported by PHS funds, funded by a PHS or HHS (Health and Human Services) member agency, the National Science Foundation or the Department of Veteran's Affairs—that the proposed research has been reviewed and approved by the IACUC. In order to be in compliance with the regulations, investigators must submit copies of the federal grant proposal with the application for IACUC approval.

The institution is responsible for ensuring the information in the application for approval is congruent with what is in the grant application. If discrepancies exist between the two documents, the investigator must provide clarification. This clarification may consist of the following:

- Reconciling the information in the protocol to match the grant
- Confirmation of what the actual research plan involves (e.g., confirm the portions of the grant application that are correct, provide an explanation for additional items included in the IACUC application, confirm that procedures listed in the grant application have been removed from the research plan or will be conducted at a later date, etc.). Although IACUC protocols are approved for a maximum of 3 years, a short description must be provided in the IACUC protocol for animal research that will occur in funding years 4–5.

This approach is based upon the guiding principle that the IACUC is responsible for the humane care and use of animals. By the investigator clarifying any discrepancies, the institution will know what is being approved in both documents. This form of review also allows the flexibility necessary to accommodate changes

- in the federal grant proposal due to budget reductions,
- in the scope of the project, or
- for which the investigator has obtained his/her program officer's approval, etc.

If there is a change in scope of the project, it is the PI's responsibility to obtain approval from the granting agency.

3. References and Regulations

- <http://grants.nih.gov/grants/olaw/faqs.htm>
- <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-139.html>
- http://grants.nih.gov/grants/policy/nihgps_2013/nihgps_ch4.htm#iacuc_approval_verification

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